




Pennine Academies Yorkshire

Data Retention, Storage & Disposal Policy

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Signed by:

A handwritten signature in black ink, appearing to be 'N. [unclear]', written over a horizontal line.

Chair of Trustees

Date: 14.07.2021

1. Executive Summary

The Trust has a duty to ensure that all records are kept only for as long as is necessary to fulfil the purpose(s) for which they were intended, in line with any statutory requirements.

The Data Protection Officer (DPO) will maintain this policy and lead on its Trust wide implementation by supporting Informational Owners (IO) and School Data Leads (SDL) within their respective academies or business units.

Any individual operating as part of the workforce (e.g. employees, volunteers, contractors) are responsible for maintaining the accuracy, security and disposal of records.

This policy includes information pertaining to data retention periods agreed with information owners by on statutory requirements, contractual requirements or local justification. Any retention periods for personal or sensitive data are communicated with individuals via a privacy notice.

The use of data protection by design principles ensures that all individual rights to data minimisation are employed, and where data is retained over time, personally identifiable data is removed.

The safe storing and protection information is a fundamental requirement of GDPR. Information Owners must ensure that appropriate technical, physical and procedural measures are taken to keep records safe and secure.

Personnel should receive appropriate training and development on the requirements and responsibilities specific to their role(s). This would include annually refreshing knowledge, skills and techniques required to safeguard personal and sensitive data sets in both digital and hardcopy formats. This could include changing login credentials, secure file transfers and the use of lockable filing cabinets for archiving. Any unauthorised disclosure may result in disciplinary action.

SDLs are responsible for ensuring continuity and recovery measures are in place. Any damage to or theft of data will be managed in accordance with the Trust's Security Breach Management Plan.

Individuals have the right to access their personal information we hold or process. The Trust will adhere to the provisions outlined in the Trust's Data Protection Policy when responding to requests seeking access to personal information.

A ROPA statement will contain details of data that is required to be retained for longer than six years. Appropriate storage solutions, including details of file servers, any relevant access controls and software specific licences must be easily accessible.

Annual information audits will be used to evaluate the information the Trust is holding to ensure it is correctly managed in accordance with the GDPR.

The disposal of information can take a variety of forms depending on the type of data and its purpose. Standard disposal, pertains to recyclable information either electronic or paper recycling. Secure disposal would require shredding and electronic scrubbing where possible. The SDL would keep a record of files that are destroyed. Data that is indicated as reviewed before will be reviewed by the SDL. Where information must be kept permanently, this information is exempt from normal review procedures.

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Statement of Intent

Pennine Academies Yorkshire Trust is committed to maintaining the confidentiality of its information and ensuring that all records within The Trust and its Academies are only accessible by the appropriate individuals. In line with the requirements of the GDPR, the Trust also has a responsibility to ensure that all records are only kept for as long as is necessary to fulfil the purpose(s) for which they were intended.

This policy outlines how records are stored, accessed, monitored, retained and disposed of, in order to meet statutory requirements and comply with the requirements set out in the GDPR.

NB: There is not a sector-wide data retention policy that prescribes how long data should be retained for. The retention periods outlined in this policy are suggested good practice guidelines only and academies/business units should ensure that they consider their specific requirements when implementing these timeframes. The tables for retention periods are based on information contained within asset registers and are further informed by the Information Records Management Society (IRMS) and the DfE GDPR Toolkit guidance. Any retention periods should be in line with local arrangements and justifications for processing the data.

1. Legal framework

- 1.1. This policy has due regard to legislation including, but not limited to, the following:
 - [General Data Protection Regulation 2018](#)
 - [The Data Protection Act 2018](#)
 - [Freedom of Information Act 2000](#)
 - [Limitation Act 1980](#)
- 1.2. This policy also has due regard to the following guidance:
 - Information Records Management Society (2016) 'Information Management Toolkit for Schools'
 - DfE (2018) 'Data protection: a toolkit for schools'
- 1.3. This policy will be implemented in accordance with the related policies and procedures such as:
 - Data Protection Policy
 - Freedom of Information Policy

2. Responsibilities

- 2.1. The Trust as a whole has a responsibility for maintaining its records and record-keeping systems in line with statutory requirements.
- 2.2. The Data Protection Officer (DPO) holds overall responsibility for this policy and for ensuring correct implementation.
- 2.3. The School Data Lead (SDL) is responsible for the management of records within the Academy or Business Unit.
- 2.4. The SDL is responsible for promoting compliance with this policy and reviewing the policy on an annual basis, in conjunction with Information Owners.

2.5. The SDL is responsible for ensuring that all records are stored securely, in accordance with the retention periods outlined in this policy, and are disposed of correctly.

2.6. All staff members are responsible for ensuring that any records for which they are responsible for are accurate, maintained securely and disposed of correctly, in line with the provisions of this policy

3. Management of pupil records

- 3.1. Pupil records are specific documents that are used throughout a pupil's time in the education system – they are typically stored on an electronic management information system and passed to each academy that a pupil attends and includes all personal information relating to them, e.g. UPN, name, date of birth, home address, as well as their progress and achievement. The specific data captured must reflect the requirements of statutory returns such as the DFE Census or contractual requirements.
- 3.2. Where hard copies of accident/incident forms, disclosures or reports relating to child protection are received these must be securely stored, ideally in a digital format on the pupil management information system or else placed in a sealed envelope, in a securely locked filing cabinet, a note indicating this should be marked on the pupil's file.
- 3.3. Where hard copies of complaints made by parents or pupils are stored in a file in a secure location, a note indicating this is marked on the pupil's file.
- 3.4. No pupil records are altered or amended before transferring them to the next setting that the pupil will attend.
- 3.5. The only exception to the above is if any records placed on the pupil's file have a shorter retention period and may need to be removed. In such cases, the SDL responsible for disposing records, will remove these records.
- 3.6. Electronic records relating to a pupil's record will also be transferred to the pupil's next school/academy. Section 5 of this policy outlines how electronic records will be transferred.
- 3.7. [Primary academies only] The academy will not keep any copies of information stored within a pupil's record, unless there is ongoing legal action at the time during which the pupil leaves the academy. The responsibility for these records will then transfer to the next school/academy that the pupil attends.
- 3.8. [Secondary academies and post 16 provision only] If any pupil attends the school until statutory school leaving age, the school will keep the pupil's records until the pupil reaches the age of 25 years.
- 3.9. The academy will, wherever possible, avoid sending a pupil record by post. Where a pupil record must be sent by post, it will be sent by registered post, with an accompanying list of the files included. The receiving party is required to sign a copy of the list to indicate that they have received the files and return this to the academy.

- **4. Identifying information**

4.1. Under the GDPR, all individuals have the right to data minimisation and data protection by design and default – as the data controller, the trust ensures appropriate measures are in place in order for individuals to exercise this right.

4.2. Wherever possible, the school uses pseudonymisation, also known as the ‘blurring technique’, to reduce risk of identification.

4.4. Where data is required to be retained over time, e.g. attendance data, the academy removes any personal data not required and keeps only the data needed – in this example, the statistics of attendance rather than personal information.

- **5. Storing and protecting information**

5.1. The SDL will undertake a risk analysis to identify which records are vital to academy management and these records will be stored in the most secure manner.

5.2. The SDL will conduct a back-up of information on a termly basis to ensure that all data can still be accessed in the event of a security breach, e.g. a virus, and prevent any loss or theft of data.

5.3. Where possible, backed-up information will be stored off the academy premises.

5.4. Confidential paper records are kept in a locked filing cabinet, drawer or safe, with restricted access.

5.5. Confidential paper records are not left unattended or in clear view when held in a location with general access.

5.6. Digital data is coded, encrypted or password-protected, both on a local hard drive and on a network drive that is regularly backed-up off-site.

5.7. The use of removable storage is not [permitted](#).

5.8. All electronic devices are password-protected to protect the information on the device in case of theft.

5.10. Where possible, the academy enables electronic devices to allow the remote blocking or deletion of data in case of theft.

5.11. Staff and Trustees and Local Committee Members do not use their personal laptops or computers for school purposes.

5.12. All members of staff are provided with their own secure login and password, and every computer regularly prompts users to change their password.

5.13. Emails containing sensitive or confidential information are password-protected or confidential mode to ensure that only the recipient is able to access the information. The password will be shared with the recipient in a separate email.

5.14. Circular emails to parents are sent blind carbon copy (bcc), so email addresses are not disclosed to other recipients. Where possible messages should be sent via Trust MI systems, opposed to email accounts.

5.15. When sending confidential information by fax, members of staff always check that the recipient is correct before sending.

5.16. Where personal information that could be considered private or confidential is taken off the premises, to fulfil the purpose of the data in line with the GDPR, either in an electronic or paper format, staff take extra care to follow the same procedures for security, e.g. keeping devices under lock and key. The person taking the information from the academy premises accepts full responsibility for the security of the data.

5.17. Before sharing data, staff always ensure that:

- They have consent from data subjects to share it.
- Adequate security is in place to protect it.
- The data recipient has been outlined in a privacy notice.

5.18. All staff members should implement a 'clear desk policy' to avoid unauthorised access to physical records containing sensitive or personal information. All confidential information will be stored in a securely locked filing cabinet, drawer or safe with restricted access.

5.19. Under no circumstances are visitors allowed access to confidential or personal information. Visitors to areas of the school containing sensitive information are supervised at all times.

5.20. The physical security of the school's buildings and storage systems, and access to them, is reviewed termly by the site manager_in conjunction with the SDL. If an increased risk in vandalism, burglary or theft is identified, this will be reported to the Headteacher and extra measures to secure data storage will be put in place.

5.21. The academy takes its duties under the GDPR seriously and any unauthorised disclosure may result in disciplinary action.

5.22. The SDL is responsible for continuity and recovery measures are in place to ensure the security of protected data.

- **6. Accessing information**

6.1. Pennine Academies Yorkshire Trust is transparent with data subjects, the information we hold and how it can be accessed.

6.2. All members of staff, parents of registered pupils and other users of the school, e.g. visitors and third-party clubs, are entitled to:

- Know what information the school holds and processes about them or their child and why.
- Understand how to gain access to it.
- Understand how to provide and withdraw consent to information being held.
- Understand what the academy is doing to comply with its obligations under the GDPR.

- 6.3. All members of staff, parents of registered pupils and other users of the academy and its facilities have the right, under the GDPR, to access certain personal data being held about them or their child.
- 6.4. Personal information can be shared with pupils once they are considered to be at an appropriate age and responsible for their own affairs; although, this information can still be shared with parents.
- 6.5. Pupils who are considered to be at an appropriate age to make decisions for themselves are entitled to have their personal information handled in accordance with their rights.
- 6.6. The school will adhere to the provisions outlined in the Trusts Data Protection Policy when responding to requests seeking access to personal information.

- **7. [Record of processing activities \(ROPA\) statement](#)**

- 7.1. Digital data that is retained for longer than six years will be named as part of a ROPA register
- 7.2. The SDL will identify any digital data that will need to be named as part of a ROPA register
- 7.3. The data will be archived to dedicated files on the appropriate server, which are password protected – this will be backed-up in accordance with section 5 of this policy.
- 7.4. Memory sticks will never be used to store digital data.
- 7.5. The IT service provider will review new and existing storage methods annually and, where appropriate add them to the ROPA statement.
- 7.6. The following information will be included within the ROPA statement:
 - A statement of purpose and requirements for keeping the records.
 - The names of the individuals responsible for long term data preservation.
 - A description of the information assets to be covered by the digital preservation statement.
 - A description of when the record needs to be captured into the approved file formats.
 - A description of the appropriate supported file formats for long-term preservation.
 - A description of the retention of all software specification information and licence information.
 - A description of how access to the information asset register is to be managed in accordance with the GDPR.

- **8. Information audit**

- 8.1. The Trust conducts information audits on an annual basis against all information held by the academies and business units to evaluate the information the Trust is holding, receiving and using and to ensure that this is correctly managed in accordance with the GDPR. This includes the following information:

- Paper documents and records.
 - Electronic documents and records.
 - Databases.
 - Microfilm or microfiche.
 - Sound recordings.
 - Video and photographic records.
 - Hybrid files, containing both paper and electronic information.
- 8.2. The information audit may be completed in a number of ways, including, but not limited to:
- Interviews with staff members with key responsibilities – to identify information and information flows etc.
 - Questionnaires to key staff members to identify information and information flows etc.
 - A mixture of the above.
- 8.3. The DPO is responsible for completing the information audit. The information audit will include the following:
- The academy's data needs.
 - The information needed to meet those needs.
 - The format in which data is stored.
 - How long data needs to be kept for.
 - Vital records status and any protective marking.
 - Who is responsible for maintaining the original document.
- 8.4. The DPO will consult with staff members involved in the information audit process to ensure that the information is accurate.
- 8.5. Once it has been confirmed that the information is accurate, the DPO will record all details on the Trust's ROPA Register.
- 8.6. The information displayed on the Information Asset Register will be shared with the Principal/Headteacher as the information owner to gain their approval.
- **9. Disposal of data**
 - 9.1. Where disposal of information is outlined as standard disposal, this will be recycled appropriate to the form of the information, e.g. paper recycling, electronic recycling.
 - 9.2. Where disposal of information is outlined as secure disposal, this will be shredded or pulped and electronic information will be scrubbed clean and, where possible, cut. The SDL will keep a record of all files that have been destroyed
 - 9.3. Where the disposal action is indicated as reviewed before it is disposed, the SDL will review the information against its administrative value – if the information should be kept for administrative value the SDL will keep a record of this.

9.4. If, after the review, it is determined that the data should be disposed of, it will be destroyed in accordance with the disposal action outlined in this policy.

Where information has been kept for administrative purposes, the SDL will review the information again after three years and conduct the same process. If it needs to be destroyed, it will be destroyed in accordance with the disposal action outlined in this policy. If any information is kept, the information will be reviewed every three subsequent years.

9.5. Where information must be kept permanently, this information is exempt from the normal review procedures

- **10. Retention Schedule**

The Trust will keep some types of information for longer than others. By law, personal data must only be retained for as long as there is a genuine need for it and cannot be stored indefinitely. The tables included in the appendices include the categories of personal data held, the retention period and method of disposal.

- **11. Equality Impact Statement**

The Equality Act 2010 requires public bodies, in carrying out their functions, to have due regard to the need to:

- eliminate discrimination and other conduct that is prohibited by the Act
- advance equality of opportunity between people who share a protected characteristic and people who do not share it
- foster good relations across all characteristics - between people who share a protected characteristic and people who do not share it.

In the development of this policy due regard has been given to achieving these objectives, further to which we will champion equality in all its forms, in keeping with our values.

APPENDICES – RETENTION SCHEDULES

• **Table 1.0 - Retention of pupil records and other pupil-related information**

Type of file	Retention period	Action taken after retention period ends
Personal identifiers, contacts and personal characteristics		
Images used for identification purposes	For the duration of the event/activity, or whilst the pupil remains at academy, whichever is less, plus one month	Securely disposed of
Images used in displays in schools	Whilst the pupil is at the academy	Securely disposed of
Images used for marketing purposes, or other	In line with the consent period	Securely disposed of
Biometric data	For the duration of the event/activity, or whilst the pupil remains at academy, whichever is less, plus one month	Securely disposed of
Postcodes, names and characteristics	Whilst the pupil is at academy, plus five years	Securely disposed of
House number and road	For the duration of the event/activity, plus one month	Securely disposed of
Admissions		
Register of admissions	Whilst the pupil remains at the academy, plus one year	Information is reviewed and the register may be kept permanently
Admissions appeals	Whilst the pupil remains at academy, plus five years	Securely disposed of
[Secondary and post 16 only] Secondary academy admissions	Whilst the pupil remains at the school, plus one year	Securely disposed of
Proof of address (supplied as part of the admissions process)	Whilst the pupil remains at the academy, plus one year	Securely disposed of
Supplementary information submitted, including religious and medical information etc. (where the admission was successful)	Whilst the pupil remains at the academy, plus one year	Securely disposed of

Supplementary information submitted, including religious and medical information etc. (where the admission was not successful)	Whilst the pupil remains at the academy, plus five years	Securely disposed of
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Pupils' educational records		
[Primary schools only] Pupils' educational records	Whilst the pupil remains at the academy	Transferred to the next destination – if this is an independent academy, home-schooling or outside of the UK, the file will be kept by the LA and retained for the statutory period
[Secondary only] Pupils' educational records	25 years after the pupil's date of birth, with their personal data removed	Securely disposed of
Public examination results	<p>Added to the pupil's record and transferred to next school/ academy</p> <p>Copies with pupils' names are held whilst the pupil is at academy, plus five years</p> <p>Copies with pupils' names removed are held for 25 years after the pupil's date of birth</p>	Returned to the examination board
Internal examination results	<p>Added to the pupil's record and transferred to next school</p> <p>Copies with the pupil's personal data are held whilst the pupil is at academy, plus five years</p> <p>Copies with personal data removed are held for 25 years after the pupil's date of birth</p>	Securely disposed of

Behaviour records	Added to the pupil's record and transferred to the next academy Copies are held whilst the pupil is at academy, plus one year	Securely disposed of
Exclusion records	Added to the pupil's record and transferred to the next school Copies are held whilst the pupil is at school, plus one year	Securely disposed of
Child protection information held on a pupil's record	Stored in a sealed envelope for the same length of time as the pupil's record	Securely disposed of – shredded
Child protection records held in a separate file	25 years after the pupil's date of birth	Securely disposed of – shredded

Attendance		
Attendance registers	Whilst the pupil remains at school, plus one year Non-identifiable summary statistics are held after the initial retention period for 25 years after the pupil's date of birth	Securely disposed of
Letters authorising absence	Whilst the pupil remains at academy, plus one year Non-identifiable summary statistics are held after the initial retention period for 25 years after the pupil's date of birth	Securely disposed of
Medical information and administration		
Permission slips	For the duration of the period that medication is given, plus one month	Securely disposed of
Medical conditions – ongoing management	Added to the pupil's record and transferred to the next school Copies held whilst the pupil is at the academy, plus one year	Securely disposed of

Medical incidents that have a behavioural or safeguarding influence	Added to the pupil's record and transferred to the next school/ academy Copies held whilst the pupil is at the academy, plus 25 years	Securely disposed of
SEND		
SEND files, reviews and individual education plans	25 years after the pupil's date of birth (as stated on the pupil's record)	Information is reviewed and the file may be kept for longer than necessary if it is required for the school to defend themselves in a 'failure to provide sufficient education' case
An EHC plan maintained under section 37 of the Children and Families Act 2014 (and any amendments to the statement or plan)	25 years after the pupil's date of birth (as stated on the pupil's record)	Securely disposed of, unless it is subject to a legal hold
Information and advice provided to parents regarding SEND	25 years after the pupil's date of birth (as stated on the pupil's record)	Securely disposed of, unless it is subject to a legal hold

Accessibility strategy	25 years after the pupil's date of birth (as stated on the pupil's record)	Securely disposed of, unless it is subject to a legal hold
Curriculum management		
SATs results	25 years after the pupil's date of birth (as stated on the pupil's record)	Securely disposed of
Examination papers	Until the appeals/validation process has been completed	Securely disposed of
Published Admission Number (PAN) reports	Current academic year, plus six years	Securely disposed of
Valued added and contextual data	Current academic year, plus six years	Securely disposed of
Self-evaluation forms	Current academic year, plus six years	Securely disposed of

Pupils' work	Returned to pupils at the end of the academic year, or retained for the current academic year, plus one year	Securely disposed of
Extra-curricular activities		
Field file – information taken on school trips	Until the conclusion of the trip, plus one month Where a minor incident occurs, field files are added to the core system as appropriate	Securely disposed of
Financial information relating to school trips	Whilst the pupil remains at academy, plus one year	Securely disposed of
Parental consent forms for school trips where no major incident occurred	Until the conclusion of the trip	Securely disposed of
Parental consent forms for school trips where a major incident occurred	25 years after the pupil's date of birth on the pupil's record (permission slips of all pupils on the trip will also be held to show that the rules had been followed for all pupils)	Securely disposed of
Walking bus registers	Three years from the date of the register being taken	Securely disposed of
Educational visitors in academy – sharing of personal information	Until the conclusion of the visit, plus one month	Securely disposed of
Family liaison officers and home-school liaison assistants		
Day books	Current academic year, plus two years	Reviewed and destroyed if no longer required
Reports for outside agencies	Duration of the pupil's time at school	Securely disposed of
Referral forms	Whilst the referral is current	Securely disposed of
Contact data sheets	Current academic year	Reviewed and destroyed if no longer active
Contact database entries	Current academic year	Reviewed and destroyed if no longer required
Group registers	Current academic year, plus two years	Securely disposed of
Catering and free school meal management		

Meal administration	Whilst the pupil is at the academy, plus one year	Securely disposed of
Meal eligibility	Whilst the pupil is at the academy, plus five years	Securely disposed of

• **Table 1.1 - Retention of staff records**

Type of file	Retention period	Action taken after retention period ends
Operational		
Staff members' personal file	Termination of employment, plus six years	Securely disposed of
Timesheets	Current academic year, plus six years	Securely disposed of
Annual appraisal and assessment records	Current academic year, plus five years	Securely disposed of
Recruitment		
Records relating to the appointment of a new headteacher	Date of appointment, plus six years	Securely disposed of
Records relating to the appointment of new members of staff (unsuccessful candidates)	Date of appointment of successful candidate, plus six months	Securely disposed of
Records relating to the appointment of new members of staff (successful candidates)	Relevant information added to the member of staff's personal file and other information retained for six months	Securely disposed of
DBS certificates	Up to six months	Securely disposed of
Proof of identify as part of the enhanced DBS check	After identity has been proven	Reviewed and a note kept of what was seen and what has been checked – if it is necessary to keep a copy this will be placed on the staff member's personal file, if not, securely disposed of

Evidence of right to work in the UK	Added to staff personal file or, if kept separately, termination of employment, plus no longer than two years	Securely disposed of
Disciplinary and grievance procedures		
Child protection allegations, including where the allegation is unproven	Added to staff personal file, and until the individual's normal retirement age, or 10 years from the date of the allegation – whichever is longer If allegations are malicious, they are removed from personal files	Reviewed and securely disposed of – shredded
Verbal/Oral warnings	Date of warning, plus six months	Securely disposed of – if placed on staff personal file, removed from file
Written warning – level 1	Date of warning, plus six months	Securely disposed of – if placed on staff personal file, removed from file
Written warning – level 2	Date of warning, plus 12 months	Securely disposed of – if placed on staff personal file, removed from file
Final warning	Date of warning, plus 18 months	Securely disposed of – if placed on staff personal file, removed from file
Records relating to unproven incidents	Conclusion of the case, unless the incident is child protection related and is disposed of as above	Securely disposed of

• **Table 1.2 - Retention of senior leadership and management records**

Type of file	Retention period	Action taken after retention period ends
Trust Board / Local Governing Body		
Agendas for meetings	One copy alongside the original set of minutes – all	Securely disposed of

	others disposed of without retention	
Original, signed copies of the minutes of meetings	Permanent	If unable to store, these will be provided to the county archives service
Inspection copies of the minutes of meetings	Date of meeting, plus three years	Shredded if they contain any sensitive and personal information
Reports presented	Minimum of six years, unless they refer to individual reports – these are kept permanently	Securely disposed of or, if they refer to individual reports, retained with the signed, original copy of minutes
Meeting papers relating to the annual parents' meeting	Date of meeting, plus a minimum of six years	Securely disposed of
Instruments of government, including articles of association	Permanent	If unable to store, these will be provided to archives service
Trusts and endowments managed by the Board	Permanent	Retained in the school whilst it remains open, then archives service when the school closes
Action plans created and administered by the Board / LGB	Duration of the action plan, plus three years	Securely disposed of
Policy documents created and administered by the Board / LGB	Duration of the policy, plus three years	Securely disposed of
Records relating to complaints dealt	Date of the resolution of the complaint, plus a minimum of six years	Reviewed for further retention in case of contentious disputes, then securely disposed of
Annual reports created under the requirements of The Education (Governors' Annual Reports) (England) (Amendment) Regulations 2002	Date of report, plus 10 years	Securely disposed of
Proposals concerning changing the status of the academy	Date proposal accepted or declined, plus three years	Securely disposed of
Executive / Principal / Headteacher and senior leadership team (SLT)		
Log books of activity in the school maintained by the Principal/Headteacher	Date of last entry, plus a minimum of six years	Reviewed and offered to the county archive service if appropriate

Minutes of SLT meetings and the meetings of other internal administrative bodies	Date of the meeting, plus three years	Reviewed and securely disposed of
Reports created by the headteacher or SLT	Date of the report, plus a minimum of three years	Reviewed and securely disposed of
Records created by the headteacher, deputy headteacher, heads of year and other members of staff with administrative responsibilities	Current academic year, plus six years	Reviewed and securely disposed of
Correspondence created by the headteacher, deputy headteacher, heads of year and other members of staff with administrative responsibilities	Date of correspondence, plus three years	Reviewed and securely disposed of
Professional development plan	Duration of the plan, plus six years	Securely disposed of
School development plan	Duration of the plan, plus three years	Securely disposed of

• **Table 1.3 - Retention of health and safety records**

Type of file	Retention period	Action taken after retention period ends
Health and Safety		
Health and safety policy statements	Duration of policy, plus three years	Securely disposed of
Health and safety risk assessments	Duration of risk assessment, plus three years	Securely disposed of
Records relating to accidents and injuries at work	Date of incident, plus 12 years. In the case of serious accidents, a retention period of 15 years is applied	Securely disposed of
Accident reporting – adults	Date of the incident, plus six years	Securely disposed of
Accident reporting – pupils	25 years after the pupil's date of birth, on the pupil's record	Securely disposed of
Control of substances hazardous to health	Current academic year, plus 40 years	Securely disposed of

Information relating to areas where employees and persons are likely to come into contact with asbestos	Date of last action, plus 40 years	Securely disposed of
Information relating to areas where employees and persons are likely to come into contact with radiation	Date of last action, plus 50 years	Securely disposed of
Fire precautions log books	Current academic year, plus six years	Securely disposed of

• **Table 1.4 - Retention of financial records**

Type of file	Retention period	Action taken after retention period ends
Payroll pensions		
Maternity pay records	Current academic year, plus three years	Securely disposed of
Records held under Retirement Benefits Schemes (Information Powers) Regulations 1995	Current academic year, plus six years	Securely disposed of
Risk management and insurance		
Employer's liability insurance certificate	Closure of the school, plus 40 years	Securely disposed of
Asset management		
Inventories of furniture and equipment	Current academic year, plus six years	Securely disposed of
Burglary, theft and vandalism report forms	Current academic year, plus six years	Securely disposed of
Accounts and statements including budget management		
Annual accounts	Current academic year, plus six years	Disposed of against common standards
Loans and grants managed by the school	Date of last payment, plus 12 years	Information is reviewed then securely disposed of
All records relating to the creation and management of budgets	Duration of the budget, plus three years	Securely disposed of
Invoices, receipts, order books, requisitions and delivery notices	Current financial year, plus six years	Securely disposed of
Records relating to the collection and banking of monies	Current financial year, plus six years	Securely disposed of

Records relating to the identification and collection of debt	Current financial year, plus six years	Securely disposed of
Contract management		
All records relating to the management of contracts under seal	Last payment on the contract, plus 12 years	Securely disposed of
All records relating to the management of contracts under signature	Last payment on the contract, plus six years	Securely disposed of
All records relating to the monitoring of contracts	Current academic year, plus two years	Securely disposed of
School fund		
Cheque books, paying in books, ledgers, invoices, receipts, bank statements and journey books	Current academic year, plus six years	Securely disposed of
School meals		
Free school meals registers	Current academic year, plus six years	Securely disposed of
School meals registers	Current academic year, plus three years	Securely disposed of
School meals summary sheets	Current academic year, plus three years	Securely disposed of

- **Table 1.5 - Retention of other school records**

Type of file	Retention period	Action taken after retention period ends
Property management		
Title deeds of properties belonging to the school	Permanent	Transferred to new owners if the building is leased or sold
Plans of property belonging to the school	For as long as the building belongs to the school	Transferred to new owners if the building is leased or sold
Leases of property leased by or to the school	Expiry of lease, plus six years	Securely disposed of
Records relating to the letting of school premises	Current financial year, plus six years	Securely disposed of
Maintenance		

All records relating to the maintenance of the school carried out by contractors	Current academic year, plus six years	Securely disposed of
All records relating to the maintenance of the school carried out by school employees	Current academic year, plus six years	Securely disposed of
Operational administration		
General file series	Current academic year, plus five years	Reviewed and securely disposed of
Records relating to the creation and publication of the school brochure and/or prospectus	Current academic year, plus three years	Disposed of against common standards
Records relating to the creation and distribution of circulars to staff, parents or pupils	Current academic year, plus one year	Disposed of against common standards
Newsletters and other items with short operational use	Current academic year plus one year	Disposed of against common standards
Visitors' books and signing-in sheets	Current academic year, plus six years	Reviewed then securely disposed of
Records relating to the creation and management of parent teacher associations and/or old pupil associations	Current academic year, plus six years	Reviewed then securely disposed of